



Norwegian University of  
Science and Technology

# Compiler Construction

Lecture 11: Type systems and attribute grammars

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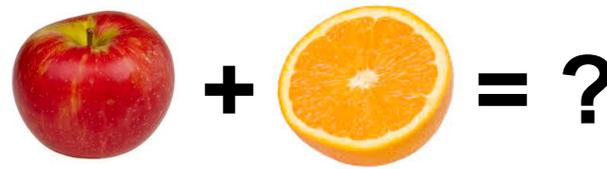
# Overview

- Type systems
  - Type checking
- Syntax-directed translation
  - Attribute grammars

# Types and type systems

- Type systems can specify program behavior at a more precise level than is possible in a context-free grammar
- Type systems create a second vocabulary for describing both the ***form and behavior*** of valid programs
- Type systems yield information that cannot be obtained using the techniques of scanning and parsing
  
- Three distinct purposes:
  - safety
  - expressiveness
  - runtime efficiency

# Type safety



Semantic  
analysis

- Ensure that the results/parts of assignments and expressions are **compatible** with each other
  - Providing types for data objects and rules for type inference help the compiler with this
- (Bad?) alternatives:
  - untyped (assembly, BCPL) and weakly typed languages
  - there are ideas for a **typed assembly language** [1]
- Compiler performs **type checking**
  - compiler must analyze the program and assign a type to each name and each expression
  - it must check these types to ensure that they are used in contexts where they are legal
  - unfortunate misnomer, it lumps together the separate activities of type inference and identifying type-related errors

# Drawbacks of type safety

- Wirth's Pascal programming language has a (quite) strict type system [2]
- The size of an array is part of its type
  - If one declares

```
var arr10 : array [1..10] of integer;
    arr20 : array [1..20] of integer;
```
  - then `arr10` and `arr20` are arrays of 10 and 20 integers respectively
- Suppose we want to write a procedure 'sort' to sort an integer array
- Because `arr10` and `arr20` have different types, it is ***not possible to write a single procedure that will sort them both!***

# Drawbacks of type safety (2)

- Even worse, strings in Pascal are arrays of char
- Consider writing a function `index(s, c)` that will return the position in the string `s` where the character `c` first occurs, or zero if it does not
  - The problem is how to handle the string argument of `index`
  - The calls `index('hello', c)` and `index('goodbye', c)` cannot both be legal, since the strings have different lengths
- Idea: use

```
var temp : array [1..10] of char;  
temp := 'hello';  
n := index(temp, c);
```
- but the assignment to `'temp'` is illegal because `'hello'` and `'temp'` are of different lengths!

# Drawbacks of safety (3)

- Practical (?!?) solutions:
  - define family of routines with a member for each possible string size!
  - or define all strings (including constant strings like 'define') to have the same length → *used in practice!*

```
type string = array [1..MAXSTR] of char;
```

- This wastes a lot of memory (especially on the small machines Pascal was developed on)
- Wirth himself uses this in his compilers, e.g. in Pascal-S [3]:

```
word[beginsym ] := 'begin      '; word[endsym   ] := 'end        ';  
word[ifsym    ] := 'if         '; word[thensym  ] := 'then       ';  
word[elsesym  ] := 'else       '; word[whilesym] := 'while      ';  
word[dosym    ] := 'do         '; word[casesym  ] := 'case       ';  
word[repeatsym] := 'repeat     '; word[untilsym ] := 'until      ';  
word[forsym   ] := 'for        '; word[tosym    ] := 'to         ';  
word[downtosym] := 'downto    '; word[notsym   ] := 'not        ';
```

# Expressiveness

- Types allow to specify behavior more precisely than is possible with context-free rules
- Example: *operator overloading*
  - gives *context-dependent meanings* to an operator
  - example: operator "+" for int, float, double, string, ...

```
int x = 1,  
    y = 2, z;  
z = x + y;  
// z = 3
```

```
double x = 1.2,  
        y = 2.3, z;  
z = x + y;  
// z = 3.5
```

```
string x = "Hello";  
string y = "World";  
z = x + y;  
// z = "HelloWorld"
```

- An untyped language might have to provide lexically different operators for each case
  - e.g. BCPL: "+" for ints, "#+" for floats

That doesn't work in C,  
of course...

# Generating Better Code

- Defining types provides detailed information about every expression in the program
- Example:
  - runtime type analysis and conversion for untyped languages
  - static generation of correct assembly statements
- Runtime type checking requires a runtime representation for type
  - each variable has a value field and a tag field => overhead!
- Knowing types at compile time allows generation of efficient code

Type of			(Pseudo) assembler code
a	b	a+b	
int	int	int	<b>add</b> $r_a, r_b \Rightarrow r_{a+b}$
int	float	float	<b>i2f</b> $r_a \Rightarrow r_{a\_f}$ <b>fadd</b> $r_{a\_f}, r_b \Rightarrow r_{a\_f+b}$
int	double	double	<b>i2d</b> $r_a \Rightarrow r_{a\_d}$ <b>dadd</b> $r_{a\_d}, r_b \Rightarrow r_{a\_f+d}$

# Generating Better Code

If types are known at runtime only, the compiler has to insert *runtime type conversions* into the generated code

```
// partial code for "a+b => c"
if (tag(a) = integer) then
  if (tag(b) = integer) then
    value(c) = value(a) + value(b);
    tag(c) = integer;
  else if (tag(b) = real) then
    temp = ConvertToReal(a);
    value(c) = temp + value(b);
    tag(c) = real;
  else if (tag(b) = ...) then
    // handle all other types...
else
  signal runtime type fault
...
```

```
else if (tag(a) = real) then
  if (tag(b) = integer) then
    temp = ConvertToReal(b);
    value(c) = value(a) + temp;
    tag(c) = real;
  else if (tag(b) = real) then
    value(c) = value(a) + value(b);
    tag(c) = real;
  else if (tag(b) = ...) then
    // handle all other types...
else
  signal runtime type fault
else if (tag(a) = ...) then
  // handle all other types...
else
  signal illegal tag value;
```

# Components of a type system

## Base types: directly supported by most processors

- *Numbers*: limited-range **integers** (e.g.,  $-2^{31} \dots 2^{31}-1$ )  
approximate real-numbers (**floating point**)
  - Often, underlying hardware implementation influences availability of number types (e.g. "int" in C)
- *Characters*: traditionally, support for 7 or 8 bit ASCII characters  
more recently, UTF16 (Windows), UTF8 (common)
- *Booleans*: values TRUE and FALSE + logic operators (and, xor, ...)

## Other possible base types (examples)

- Lisp provides a recursive basic type for *lists* ( $\Rightarrow$  Lisp machines)
- Complex numbers (DSP compilers) or vectors of numbers

# Compound and constructed types

## Combinations of elements of the base type

- *Arrays*: groups together multiple elements of the same type (base or compound), e.g. array with 10 integers `int a[10]`
  - many languages support *multi-dimensional* arrays: `int a[10]`
- *Strings*: some languages treat strings as compound types
  - most common: character strings, sometimes bit strings
- A true string differs from an array type in several important ways
  - can have operations like concatenation, translation, and computing the length
  - can be compared, e.g. in lexicographic order: `"bar" < "foo"`
- *Enumerated types*: giving (successive) numbers to named elements, e.g. weekdays, months or colors

```
enum weekday {Mon, Tue, Wed, Thu, Fri, Sat, Sun} // Mon < Wed
```

# Compound and constructed types

- *Structures (records)*: group together multiple objects of *arbitrary type*
  - elements (members) of the structure are typically given explicit names, e.g. in structures for a parse tree for a compiler:

```
struct Node1 {  
    struct Node1 *left;  
    unsigned Operator;  
    int Value  
}
```

```
struct Node2 {  
    struct Node2 *left;  
    struct Node2 *right;  
    unsigned Operator;  
    int Value  
}
```

- The type of a structure is the ordered product of the types of the individual elements that it contains
  - Type of a Node1:  $(\text{Node1 } *) \times \text{unsigned} \times \text{int}$
  - Type of a Node2:  $(\text{Node2 } *) \times (\text{Node2 } *) \times \text{unsigned} \times \text{int}$
- These new types should have the same essential properties that a base type has

# Compound and constructed types

- *Pointers*: abstract memory addresses that let the programmer manipulate arbitrary data structures
  - save an address and later examine the object that it addresses
  - often created when objects are created (`new` or `malloc`)
- Some languages provide an operator that returns the address of an object (& operator in C)
- Some languages restrict pointer assignment to “equivalent” types
  - protect from using a pointer to type `t` to reference a type `s`
- Some languages allow direct manipulation of pointers
  - arithmetic on pointers, including autoincrement and autodecrement, allow the program to construct new pointers
- Useful, but dangerous (especially with unexperienced programmers)
  - arbitrary pointers make reasoning about programs harder

# Type equivalence

When does a language allow assignments/operations between different types? Two general approaches exist:

- *name equivalence*: two types are equivalent if and only if they have the same name
  - programmer can select any name for a type
  - if the programmer chooses different names, the language and its implementation should honor that deliberate act
- *structural equivalence* asserts that two types are equivalent if and only if they have the same structure
  - two objects are interchangeable if they consist of the same set of fields, in the same order, and those fields all have equivalent types

```
typedef int length;  
typedef int height;  
length l;  
height h = 42;  
l = h; // not allowed
```

```
struct {  
    int x; int y;  
} pixel;  
struct {  
    int temp; int humidity;  
} weather;  
weather = pixel; // OK
```

# Inference rules

**Inference rules specify, for each operator, the mapping between the operand types and the result type**

- For some cases, the mapping is simple:
  - e.g., an assignment has one operand and one result: result (LHS) must have type compatible with RHS
- Often, relationship between operand types and result types is specified as recursive function on the type of the expression tree
  - the result type of an operation is a function of the types of its operands, e.g. specified using a table
  - compilers often recognize certain combinations of mixed-type expressions and automatically insert appropriate conversions

<b>+</b>	<b>int</b>	<b>float</b>	<b>double</b>
<b>int</b>	int	float	double
<b>float</b>	float	float	double
<b>double</b>	double	double	double

# Attribute grammars

- Context-free grammar augmented with a set of rules
- Each symbol in the derivation (or parse tree) has a set of named values, or attributes
- The rules specify how to compute a value for each attribute
  - Attribution rules are functional; they uniquely define the value

Example grammar:

```
1 Number → Sign List
2 Sign   → +
3         | -
4 List   → List Bit
5         | Bit
6 Bit    → 0
7         | 1
```

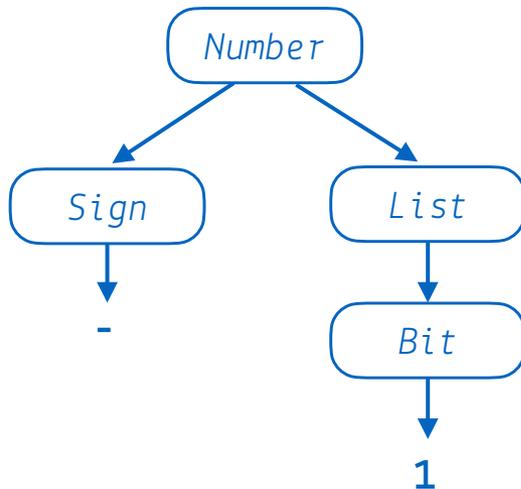
This grammar describes signed binary numbers

We will augment it with rules that compute the decimal value of each valid input string

# Examples

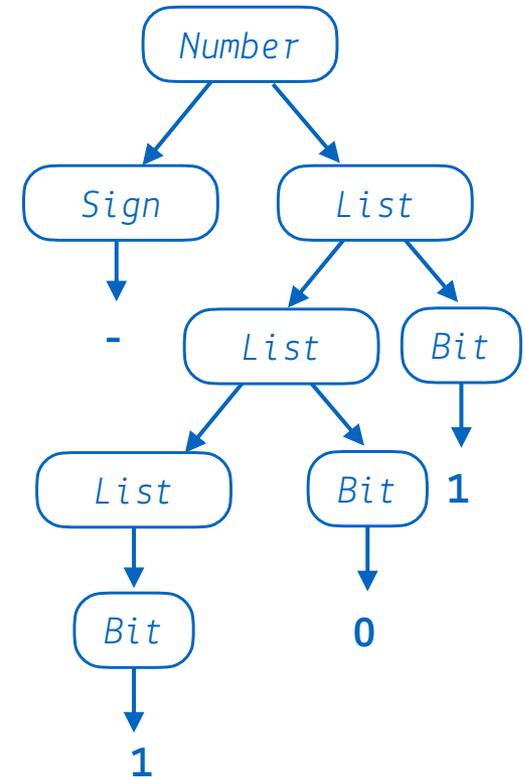
For "-1":

*Number* → *Sign List*  
→ *Sign Bit*  
→ *Sign 1*  
→ **- 1**



For "-101":

*Number* → *Sign List*  
→ *Sign Bit*  
→ *Sign List 1*  
→ *Sign List Bit 1*  
→ *Sign List 0 1*  
→ *Sign Bit 0 1*  
→ *Sign 1 0 1*  
→ **- 1 0 1**



# Building attribute grammars

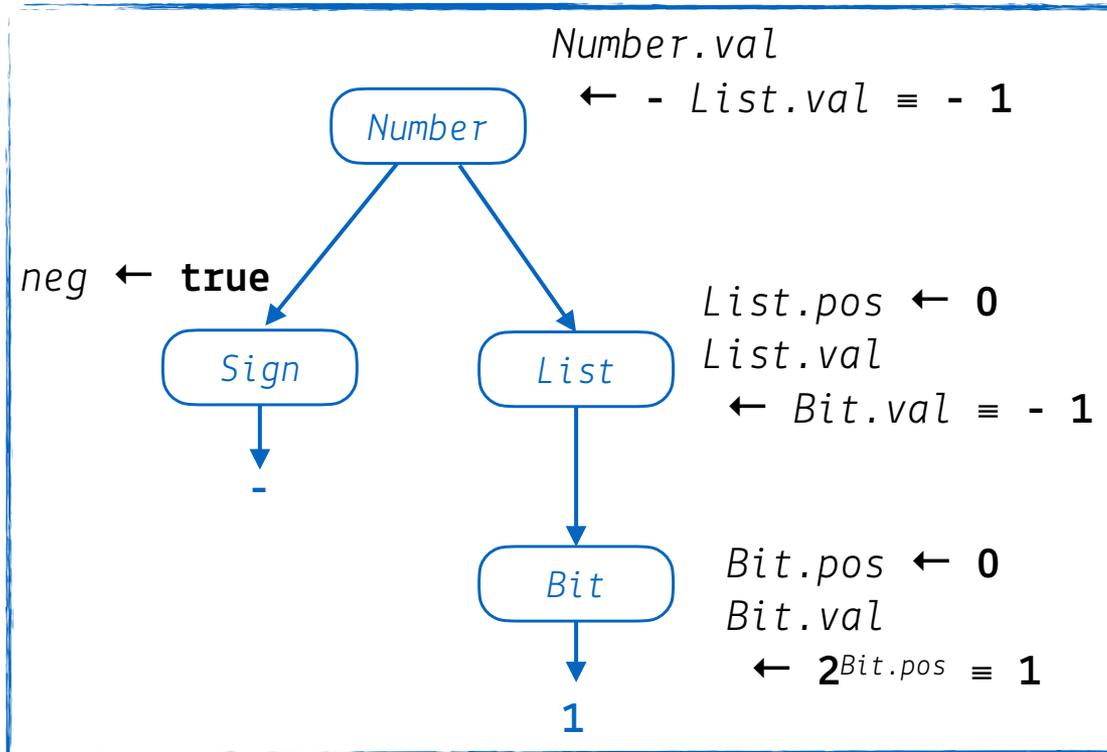
Add rules to compute the decimal value of a signed binary number

Production	Attribution rules
$Number \rightarrow Sign List$	$List.pos \leftarrow 0$ if $Sign.neg$ then $Number.val \leftarrow - List.val$ else $Number.val \leftarrow List.val$
$Sign \rightarrow +$   $-$	$Sign.neg \leftarrow false$ $Sign.neg \leftarrow true$
$List_0 \rightarrow List_1 Bit$   $Bit$	$List_1.pos \leftarrow List_0.pos + 1$ $Bit.pos \leftarrow List_0.pos$ $List_1.val \leftarrow List_1.val + Bit.val$ $Bit.pos \leftarrow List.pos$ $List.val \leftarrow Bit.val$
$Bit \rightarrow 0$   $1$	$Bit.val \leftarrow 0$ $Bit.val \leftarrow 2^{Bit.pos}$

Symbol	Attributes
$Number$	val
$Sign$	neg
$List$	pos, val
$Bit$	pos, val

# Attribute grammar for example 1

For "-1":



One possible evaluation order:

1. *List.pos*
2. *Sign.neg*
3. *Bit.pos*
4. *Bit.val*
5. *List.val*
6. *Number.val*

Other orders are possible

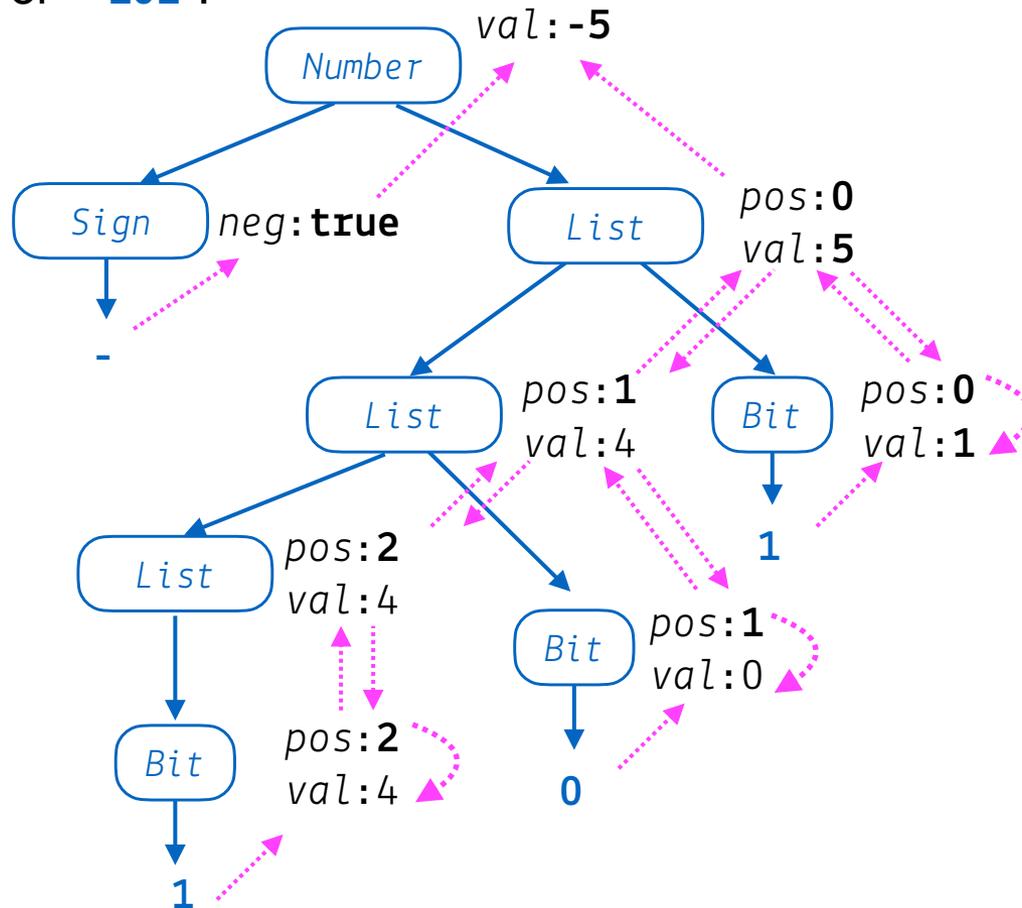
Evaluation order must be consistent with the attribute dependence graph

Knuth suggested a data-flow model for evaluation [4]:

- Independent attributes first
- Others in order as input values become available

# Attribute grammar for example 2

For "-101":



This is the complete attribute dependence graph for "-101"

It shows the flow of all attribute values in the example

Some flow downward  
→ **inherited attributes**

Some flow upward  
→ **synthesized attributes**

A rule may use attributes in the parent, children, or siblings of a node

# Applying the rules

- Attributes associated with nodes in parse tree
- Rules are value assignments associated with productions
- Attribute is defined once, using local information
- Label identical terms in production for uniqueness
- Rules & parse tree define an attribute dependence graph
  - Graph must be non-circular

This produces a high-level, functional specification

## *Synthesized attribute*

- Depends on values from children

The attribute dependence graph is a specification for the *computation*, not an algorithm

## *Inherited attribute*

- Depends on values from siblings & parent

# Using attribute grammars

Attribute grammars can specify context-sensitive actions

- Take values from syntax
- Perform computations with values
- Insert tests, logic, ...

## *Synthesized attributes*

- Use values from children & constants
- S-attributed grammars
- Evaluate in a single bottom-up pass

Good match to LR parsing

**We want to use both kinds  
of attributes**

## *Inherited attributes*

- Use values from parent, constants & siblings
- Directly express context
- Can rewrite to avoid them
- Thought to be more natural

Not easily done at parse time

# Evaluation methods

## *Dynamic, dependence-based methods*

- Build the parse tree
- Build the dependence graph
- Topological sort the dependence graph
- Define attributes in topological order

## *Rule-based methods*

(treewalk)

- Analyze rules at compiler-generation time
- Determine a fixed (static) ordering
- Evaluate nodes in that order

## *Oblivious methods*

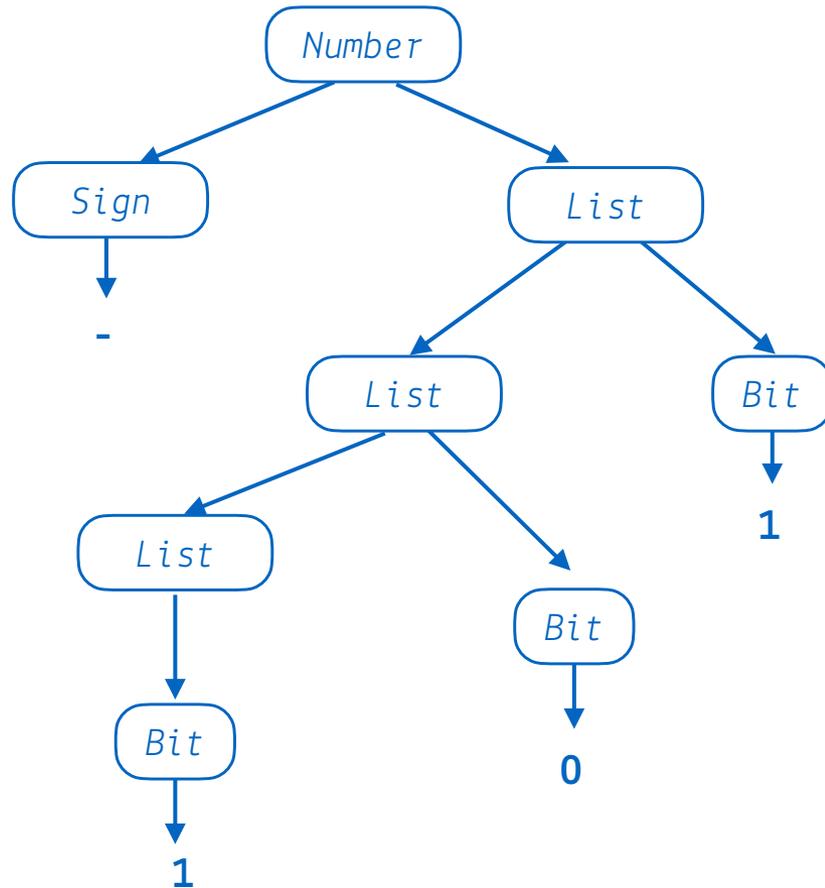
(passes, dataflow)

- Ignore rules & parse tree
- Pick a convenient order (at design time) & use it

# Back to the example

For "-101":

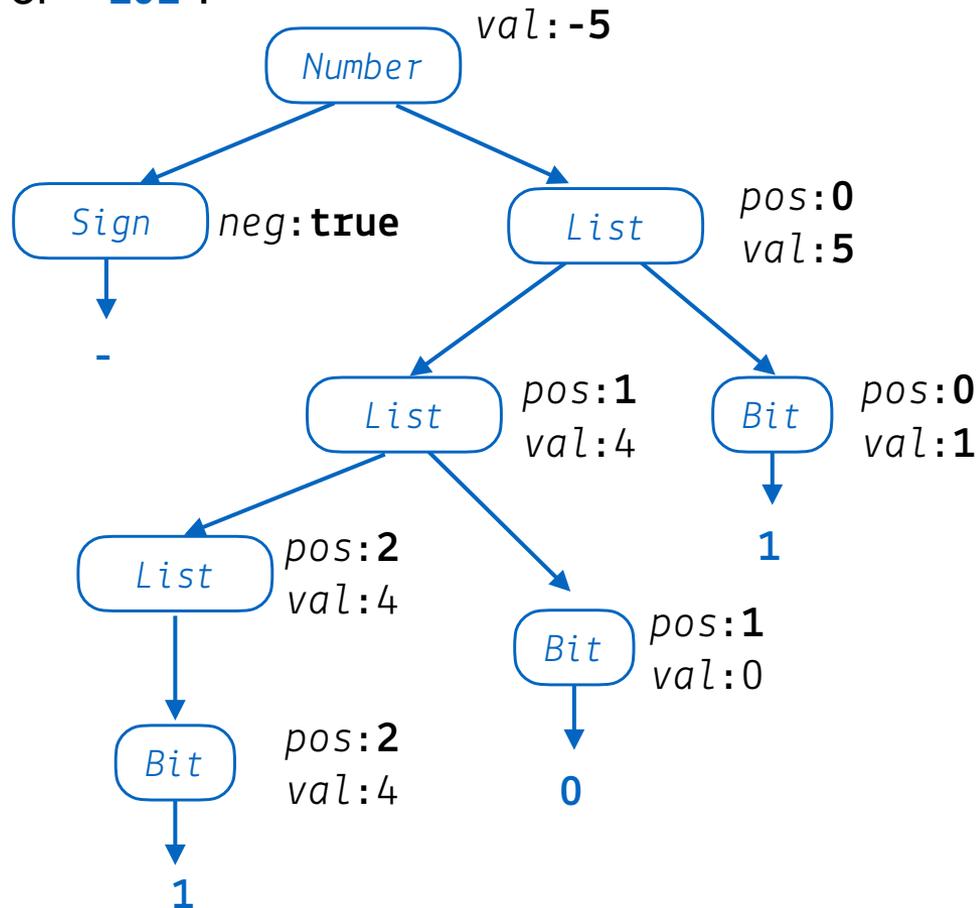
Syntax tree



# Back to the example

For "-101":

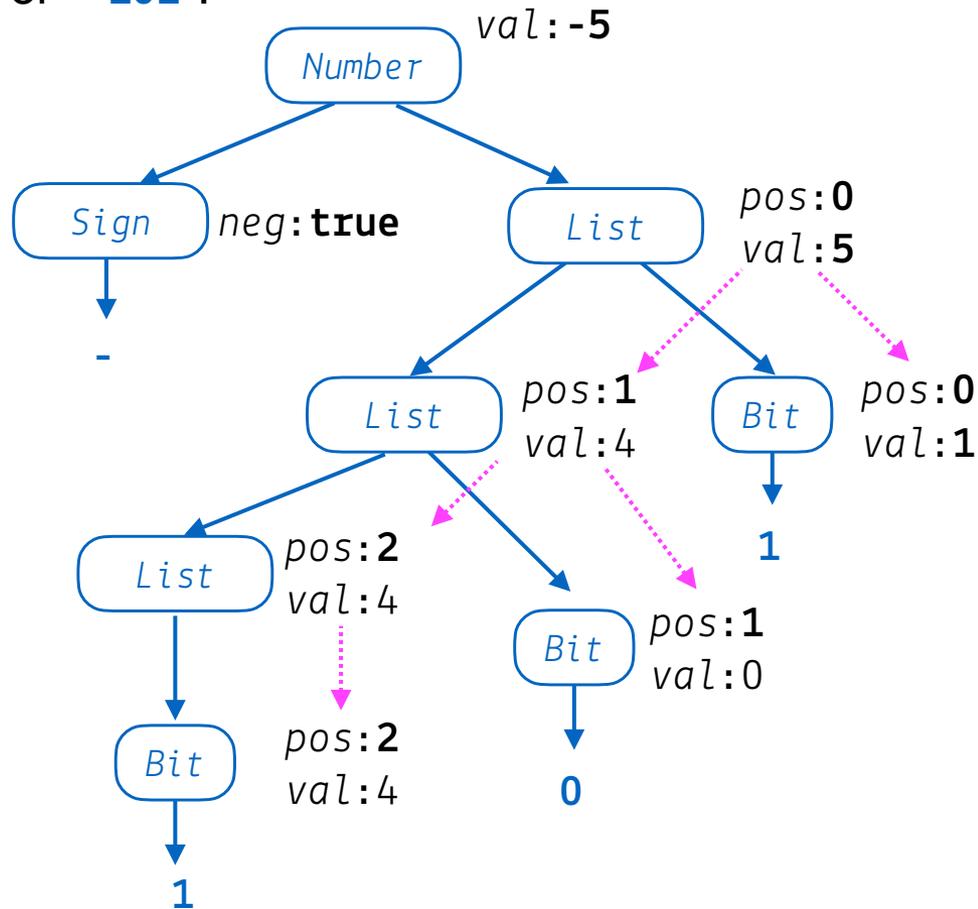
Attributed syntax tree



# Back to the example

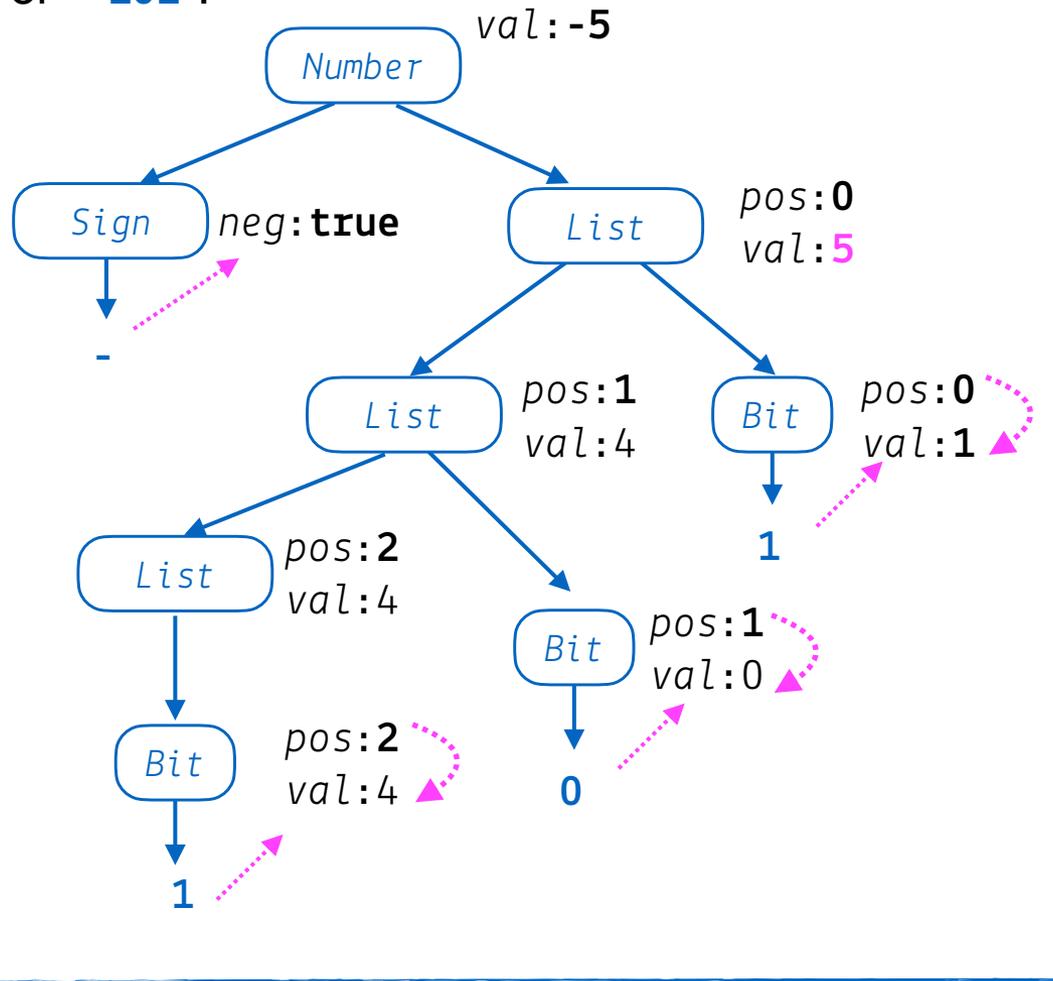
For "-101":

Inherited attributes



# Back to the example

For "-101":



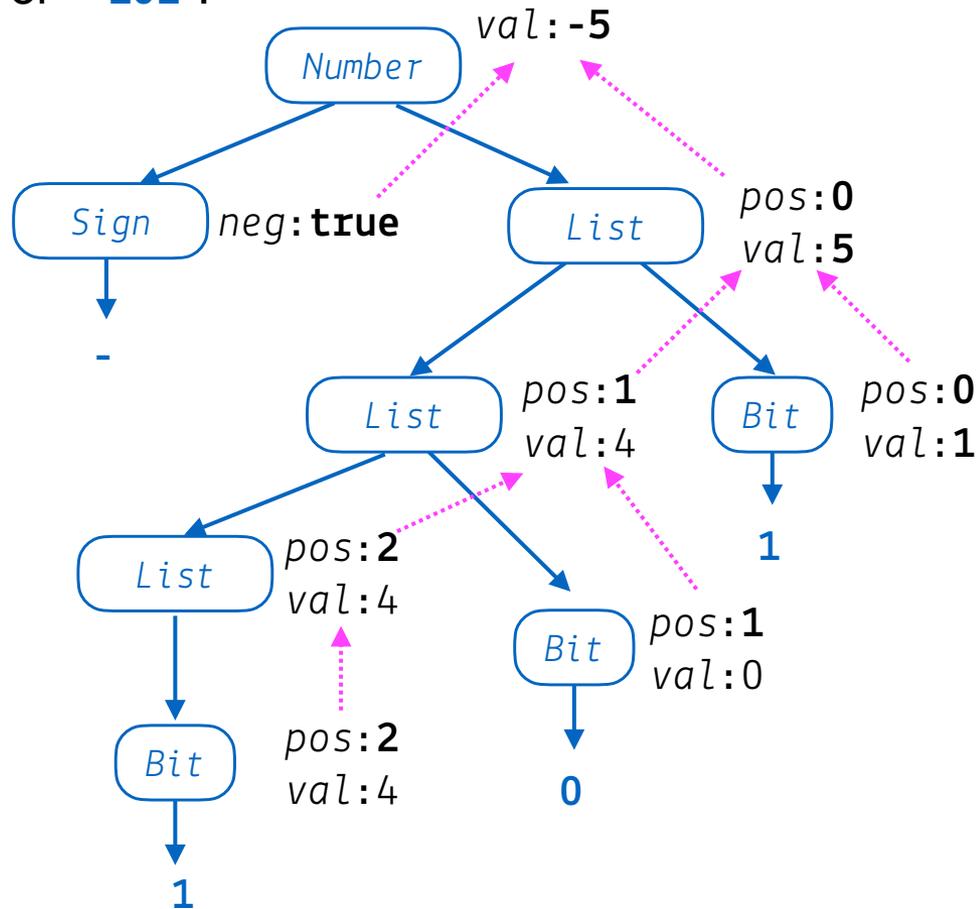
## Synthesized attributes

*val* obtains values from children and the same node

# Back to the example

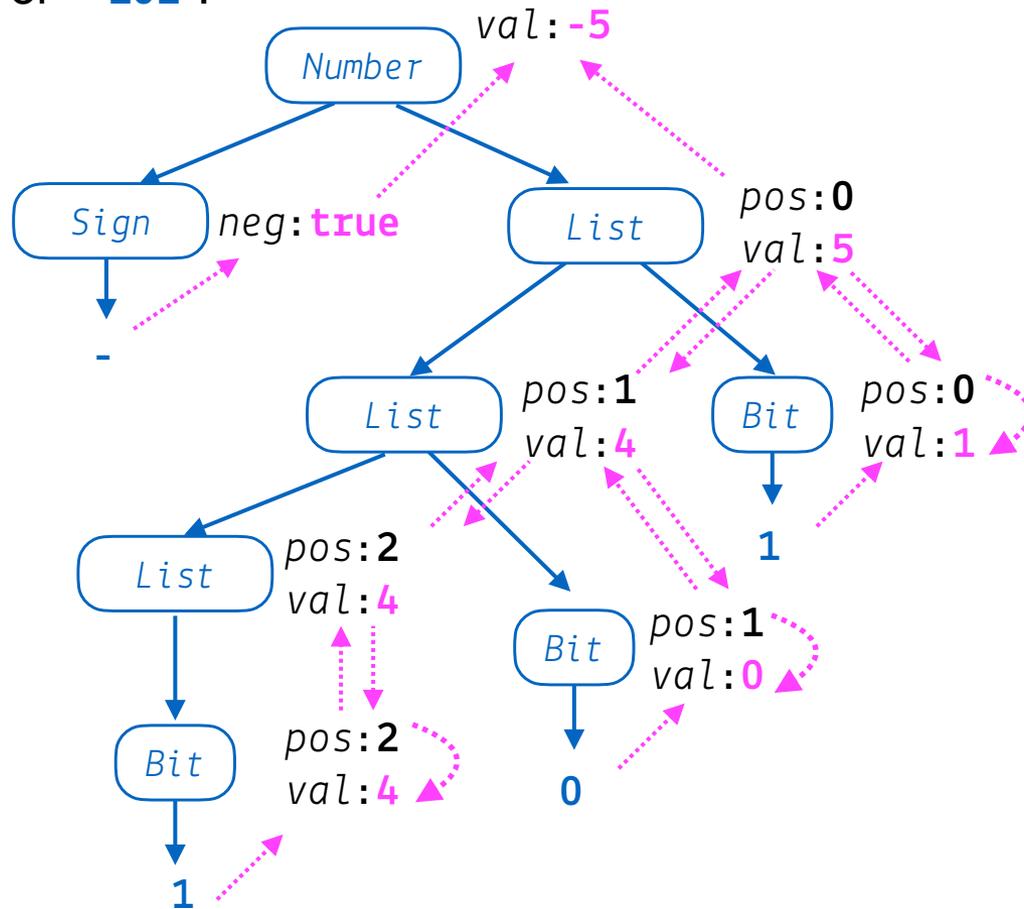
For "-101":

More synthesized attributes



# Back to the example

For "-101":

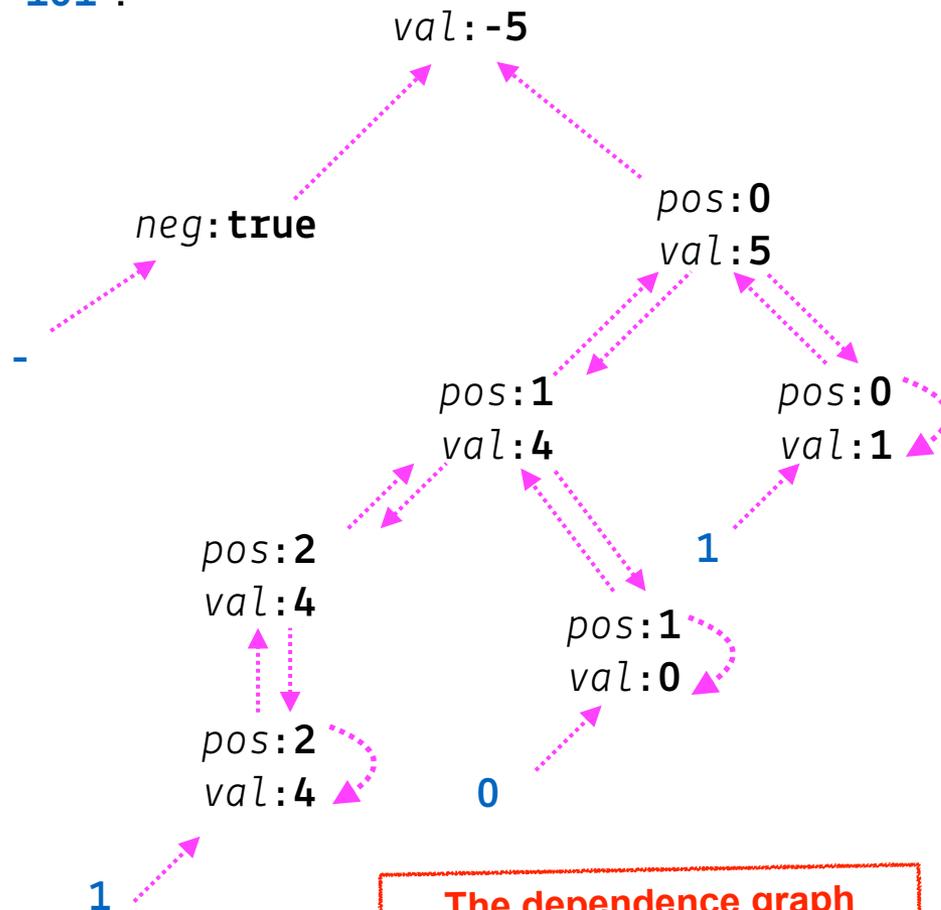


Let's show the computation...

and remove the syntax tree

# Back to the example

For "-101":



The dependence graph *must* be acyclic!

All that is left is the *attribute dependence graph*

This succinctly represents the flow of values in the problem instance

The dynamic methods sort this graph to find independent values, then work along graph edges

The rule-based methods try to discover “good” orders by analyzing the rules

The oblivious methods ignore the structure of this graph

# Circularity

- We can only evaluate acyclic instances
- **General circularity testing** problem is inherently exponential!
- We can prove that some grammars can only generate instances with acyclic dependence graphs
  - Largest such class is “strongly non-circular” grammars (SNC) [5]
  - SNC grammars can be tested in polynomial time
  - Failing the SNC test is not conclusive
- Many evaluation methods discover circularity dynamically  
⇒ Bad property for a compiler to have

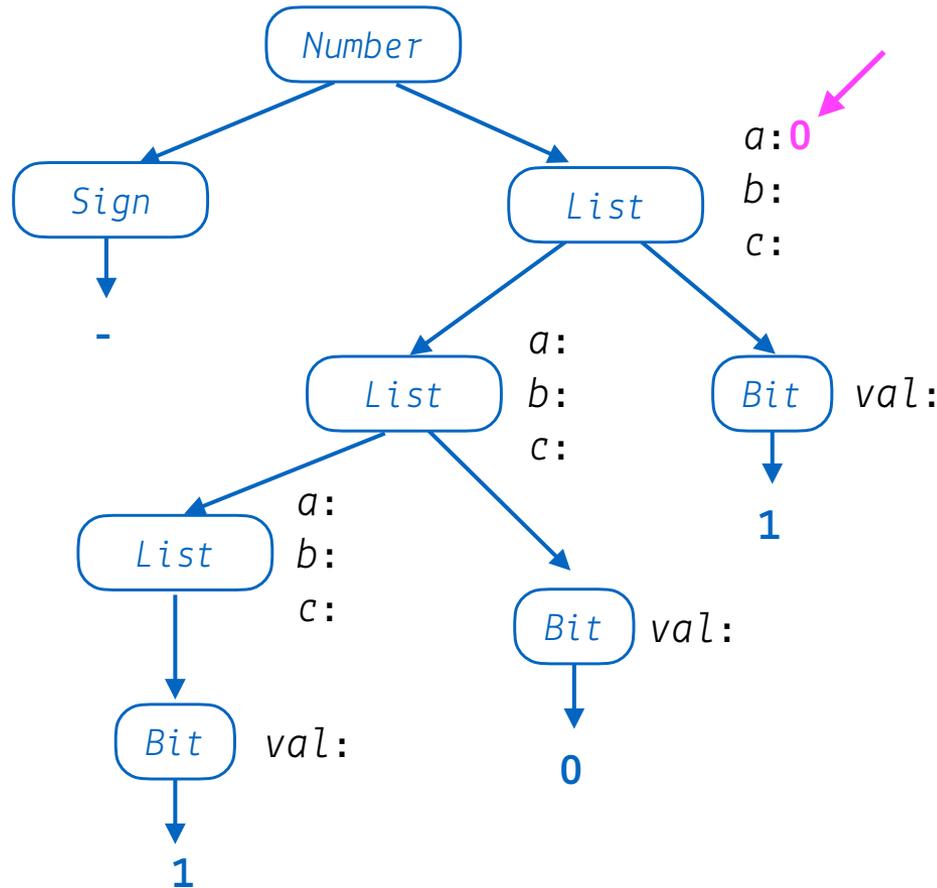
# A circular attribute grammar

Production	Attribution rules
$Number \rightarrow Sign List$	$List.a \leftarrow 0$
$List_0 \rightarrow List_1 Bit$ $\quad \quad \quad   Bit$	$List_1.a \leftarrow List_0.a + 1$ $List_0.b \leftarrow List_1.b$ $List_1.c \leftarrow List_1.b + Bit.val$ $List_0.b \leftarrow List_0.a + List_0.c + Bit.val$
$Bit \rightarrow 0$ $\quad \quad   1$	$Bit.val \leftarrow 0$ $Bit.val \leftarrow 1$

The circularity is in the attribution rules,  
not the underlying CFG

# Circular grammar example

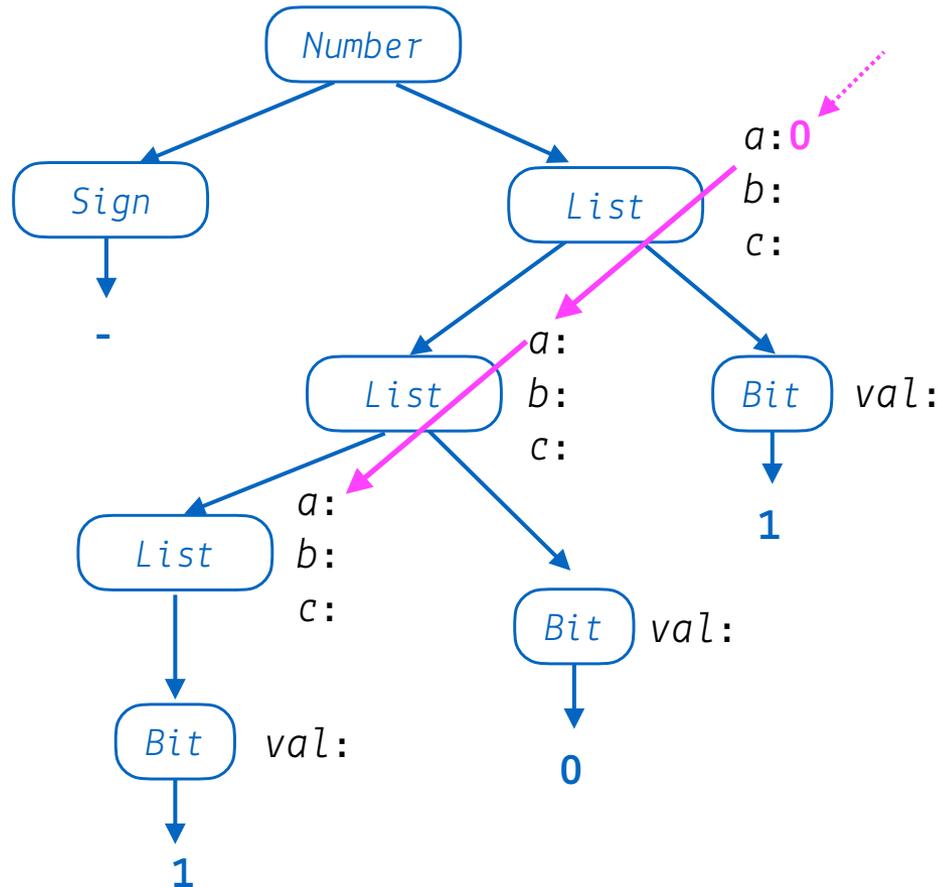
For "-101":



Production	Attribution rules
$Number \rightarrow Sign List$	$List.a \leftarrow 0$
$List_0 \rightarrow List_1 Bit$	$List_1.a \leftarrow List_0.a + 1$ $List_0.b \leftarrow List_1.b$ $List_1.c \leftarrow List_1.b + Bit.val$
$  Bit$	$List_0.b \leftarrow List_0.a + List_0.c + Bit.val$
$Bit \rightarrow 0$	$Bit.val \leftarrow 0$
$  1$	$Bit.val \leftarrow 1$

# Circular grammar example

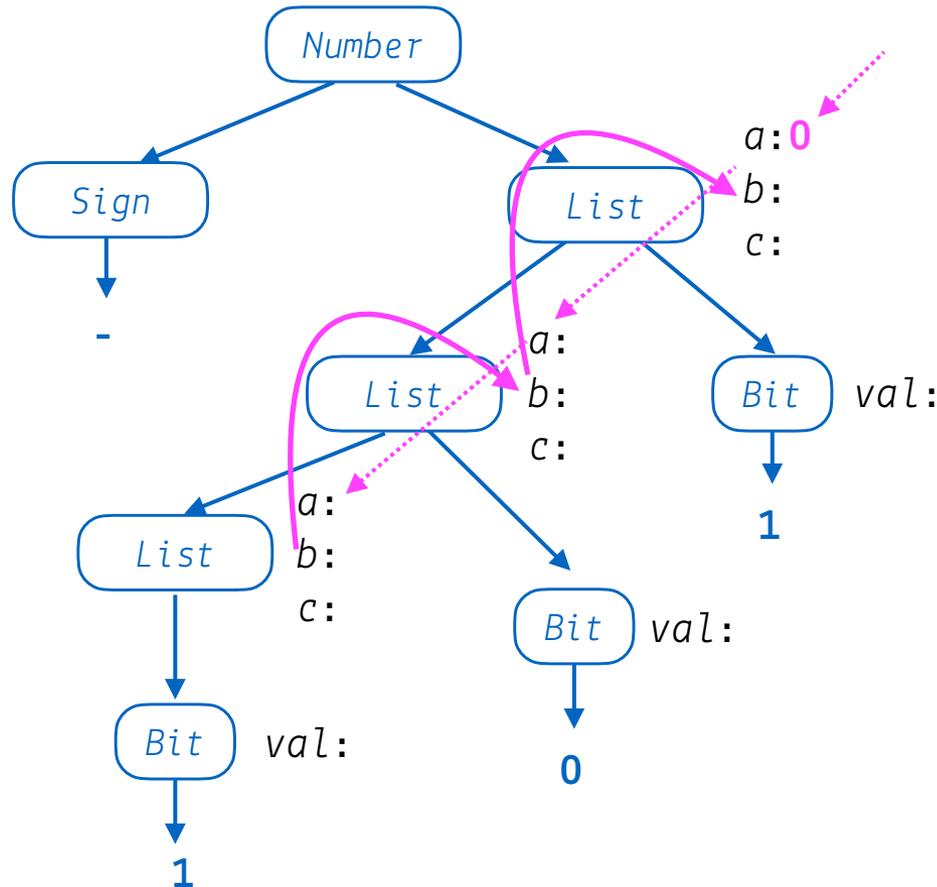
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# Circular grammar example

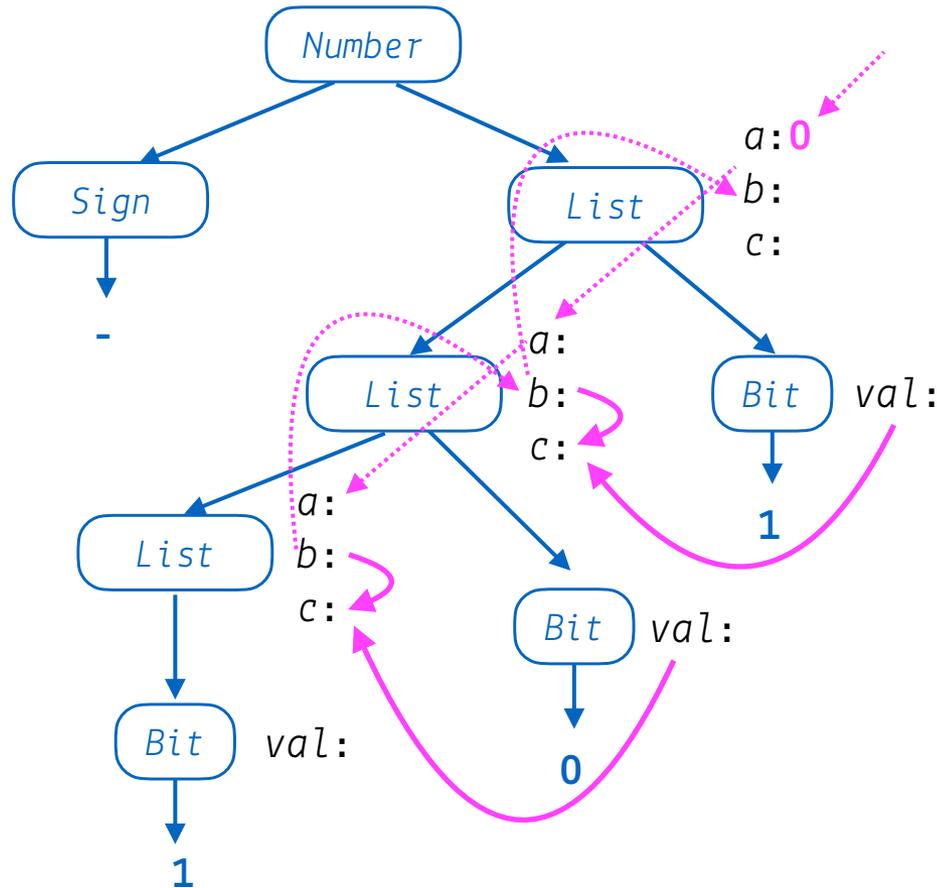
For "-101":



Production	Attribution rules
$Number \rightarrow Sign List$	$List.a \leftarrow 0$
$List_0 \rightarrow List_1 Bit$	$List_1.a \leftarrow List_0.a + 1$ $List_0.b \leftarrow List_1.b$ $List_1.c \leftarrow List_1.b + Bit.val$
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# Circular grammar example

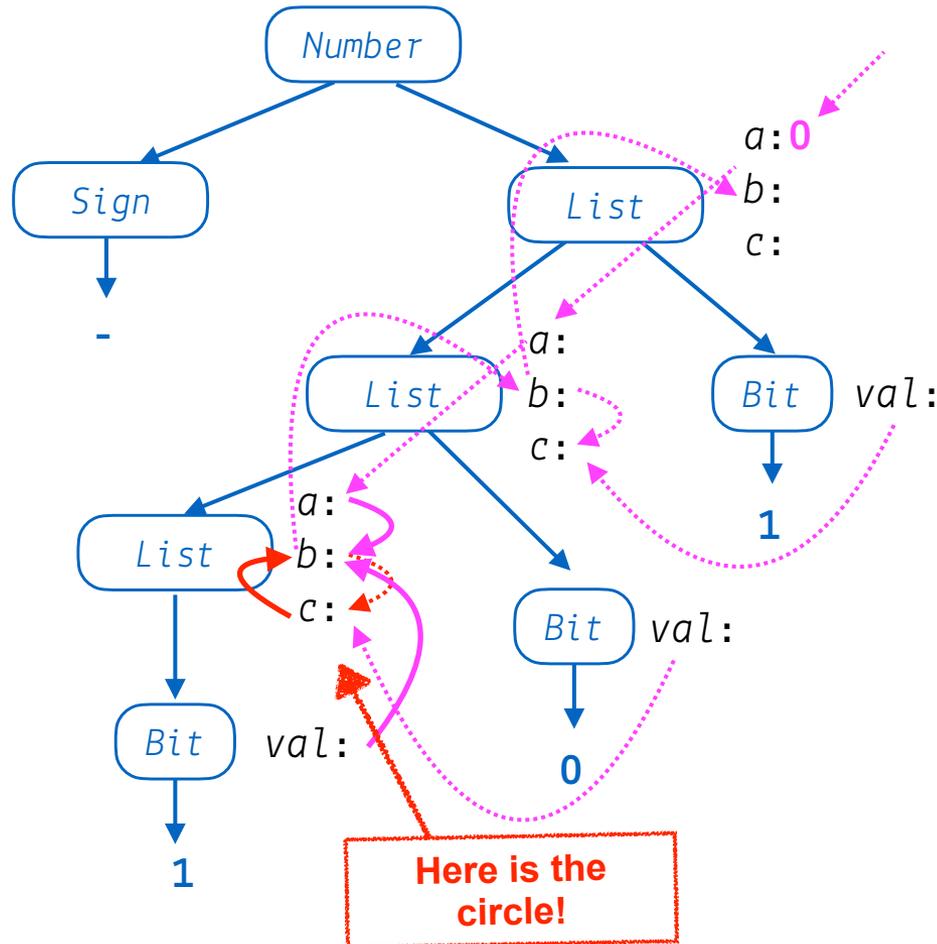
For "-101":



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# Circular grammar example

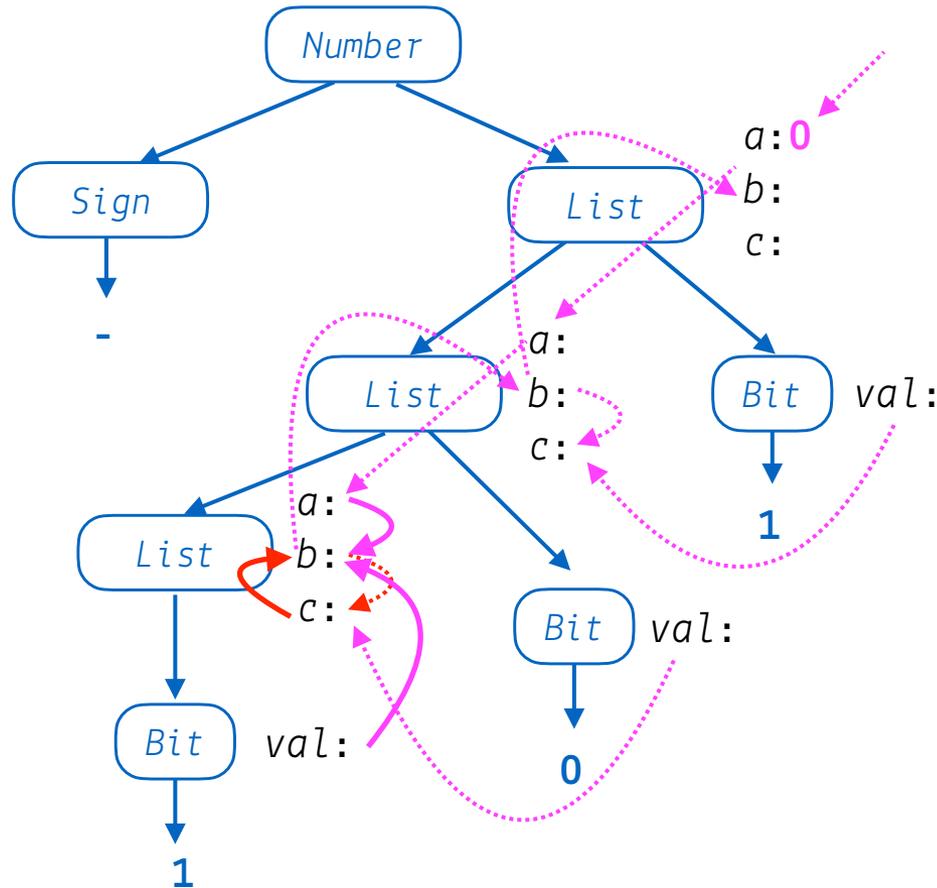
For "-101":



Production	Attribution rules
$Number \rightarrow Sign List$	$List.a \leftarrow 0$
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# Circular grammar example

For "-101":



Production	Attribution rules
$Number \rightarrow Sign List$	$List.a \leftarrow 0$
$List_0 \rightarrow List_1 Bit$	$List_1.a \leftarrow List_0.a + 1$ $List_0.b \leftarrow List_1.b$ $List_1.c \leftarrow List_1.b + Bit.val$ $List_0.b \leftarrow List_0.a + List_0.c + Bit.val$
$Bit \rightarrow 0$ $Bit \rightarrow 1$	$Bit.val \leftarrow 0$ $Bit.val \leftarrow 1$

Here is the circle!

# Circles – the point

- Circular grammars have indeterminate values
    - Algorithmic evaluators will fail
  - Noncircular grammars evaluate to a unique set of values
  - Circular grammar might give rise to noncircular instance
    - Probably shouldn't bet the compiler on it...
- ⇒ Should (undoubtedly) use provably noncircular grammars

Remember, we are studying AGs to gain insight

- We should avoid circular, indeterminate computations
- If we stick to provably noncircular schemes, evaluation should be easier

# An extended attribute grammar ex.

## Grammar for a basic block

```
1  Block0 → Block1 Assign
2          | Assign
3  Assign → Ident = Expr ;
4  Expr0 → Expr1 + Term
5          | Expr1 - Term
6          | Term
7  Term0  → Term1 * Factor
8          | Term1 / Factor
9          | Factor
10 Factor → ( Expr )
11        | Number
12        | Ident
```

## Let's estimate cycle counts (again)

- Each operation has a COST
- Add them, bottom up
- Assume a load per value
- Assume no reuse

## Simple problem for an attribute grammar

# A quick look at basic blocks

Semantic analysis

## Code in a *basic block*

- has **one entry point** (at its start), so no code inside the block is the destination of a jump instruction anywhere in the program
- has **one exit point**, so only the last instruction can cause the program to begin executing code in a different basic block
- This implies:  
whenever the first instruction in a basic block is executed, the rest of the instructions are necessarily executed exactly once, in order

```
i = 1;
j = 1;
k = 0;

while (k < 100) {
  if (j < 20) {
    j = i;
    k = k+1;
  } else {
    j = k;
    k = k+2;
  }
}
return j;
```

Source code

```
i = 1;
j = 1;
k = 0;
```

B1

```
while (k < 100) {
```

B2

```
  if (j < 20) {
```

B3

```
    j = i;
    k = k+1;
```

B4

```
    j = k;
    k = k+2;
```

B5

```
  }
  return j;
```

B6

Basic Blocks B1–B6

The code may be source code, assembly code or some other sequence of instructions

# An extended example

## Grammar for a basic block

1	$Block_0 \rightarrow Block_1 Assign$	$Block_0.cost \leftarrow Block_1.cost + Assign.cost$
2	$Assign$	$Block_0.cost \leftarrow Assign.cost$
3	$Assign \rightarrow Ident = Expr ;$	$Assign.cost \leftarrow \mathbf{COST(store)} + Expr.cost$
4	$Expr_0 \rightarrow Expr_1 + Term$	$Expr_0.cost \leftarrow Expr_1.cost$ $\quad + \mathbf{COST(add)} + Term.cost$
5	$Expr_1 - Term$	$Expr_0.cost \leftarrow Expr_1.cost$ $\quad + \mathbf{COST(sub)} + Term.cost$
6	$Term$	$Expr_0.cost \leftarrow Term.cost$
7	$Term_0 \rightarrow Term_1 * Factor$	$Term_0.cost \leftarrow Term_1.cost$ $\quad + \mathbf{COST(mul)} + Factor.cost$
8	$Term_1 / Factor$	$Term_0.cost \leftarrow Expr_1.cost$ $\quad + \mathbf{COST(div)} + Factor.cost$
9	$Factor$	$Term_0.cost \leftarrow Factor.cost$
10	$Factor \rightarrow ( Expr )$	$Factor.cost \leftarrow Expr.cost$
11	$Number$	$Factor.cost \leftarrow \mathbf{COST(LoadImm)}$
12	$Ident$	$Factor.cost \leftarrow \mathbf{COST(Load)}$

# An extended example (contd.)

Properties of the example grammar

- All attributes are synthesized  $\Rightarrow$  so-called S-attributed grammar
- Rules can be evaluated bottom-up in a single pass
  - Good fit to bottom-up, shift/reduce parser
- Easily understood solution
- Seems to fit the problem well

What about an improvement?

- Values are loaded only once per block (not at each use)
- Need to track which values have been already loaded

# A better execution model

## Load tracking adds complexity

- But, most of it is in the “copy rules”
- Every production needs rules to copy Before & After

10	<i>Factor</i> → ( <i>Expr</i> )	Factor.cost ← Expr.cost Expr.before ← Factor.before Factor.after ← Expr.after
11	<i>Number</i>	Factor.cost ← <b>COST(LoadImm)</b> Factor.after ← Factor.before
12	<i>Ident</i>	If (Ident.name ∉ Factor.before) then Factor.cost ← <b>COST(Load)</b> Factor.after ← Factor.before ∪ {Ident.name} else Factor.cost ← <b>0</b> Factor.after ← Factor.before

# A better execution model

## Adding *load tracking*

- This needs sets `Before` and `After` for each production
- Must be initialized, updated, and passed around the tree

An example production:

$4 \text{ } Expr_0 \rightarrow Expr_1 + Term$	$Expr_0$	$\leftarrow Expr_1.cost$ $+ \mathbf{COST(add)} + Term.cost$
	$Expr_1.before$	$\leftarrow Expr_0.before$
	$Term.before$	$\leftarrow Expr_1.before$
	$Expr_1.after$	$\leftarrow Term.after$

- These copy rules multiply rapidly
- Each creates an instance of the set
- Lots of work, lots of space, lots of rules to write

# An even better model

What about accounting for finite register sets?

- Before & After must be of limited size
- Adds complexity to Factor → Identifier
- Requires more complex initialization

Jump from tracking loads to tracking registers is small

- Copy rules are already in place
- Some local code to perform the allocation

# ...and its extensions

## Tracking loads

- Introduced Before and After sets to record loads
- Added  $\geq 2$  copy rules per production
- Serialized evaluation into execution order
- Made the whole attribute grammar large & cumbersome

## Finite register set

- Complicated one production (Factor  $\rightarrow$  Identifier)
- Needed a little fancier initialization
- Changes were quite limited

Why is one change hard and the other one easy?

# Summing it up

- Non-local computation needed lots of supporting rules
- Complex local computation was relatively easy

## The problems

- Copy rules increase cognitive overhead
- Copy rules increase space requirements
  - Need copies of attributes
  - Can use pointers, for even more cognitive overhead
- Result is an attributed tree
  - Must build the parse tree
  - Either search tree for answers or copy them to the root

⇒ ***in practice, ad-hoc solutions are used (see previous lecture)***

# What's next?

- Three-address code and intermediate representations

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